# **Interprocess Communication (IPC)**

- Middleware
  - low layer: supports basic IPC
  - next layer: high level communication paradigm RMI, RPC

# **Overview**

#### **Java APIs for Internet Protocols**

- UDP
  - message passing abstraction
  - processes transmit a single datagram to a receiving process
  - best effort
  - no guarantees
- TCP
  - abstraction of 2-way stream
  - streams have no message boundaries
  - basis of producer/consumer communication
  - transparent recovery
  - higher overhead than UDP
  - reliable
  - if connection fails, exception is produced

#### **Data Representation**

- how objects/data are translated into suitable form for sending as messages over network
  - receiver needs to be able to decode what it receives

# **Higher level Protocols**

- request-reply protocols: client-server
- group multicast protocol: group communication

# **API for IP**

- processes use two message communication functions: send, receive
- queue associated with each message destination
  - receive side: OS is producer, process is consumer
- synchronous communication: both send and receive are blocking
  - when a send is issued, sending process is blocked until receive is issued
  - when a receive is issued, process blocks until a message arrives
- asynchronous communication: send is non-blocking
  - sending process returns as soon as the message is copied to a local buffer
  - transmission of the message proceeds in parallel
  - receive usually blocking, but can be non-blocking
- non-blocking receive: provides buffer to be filled in the background
  - needs an interrupt/polling to be notified when the buffer is filled
  - may be more efficient, requires more complex code to acquire incoming message
- blocking receive: when you can have multiple threads in a single process (e.g. in Java), there
  are no disadvantages, as one thread can issue the blocking call while other threads remain active

Communication	send	receive
Synchronous	blocking	blocking
Asynchronous	non-blocking	blocking (usually)

- producer/consumer: linked blocking queue
- producer:
  - offer(): look at queue if full, returns False and doesn't add to the queue
    - \* otherwise adds data to the queue
    - \* non-blocking
  - put(): blocks until it can be put in the queue
    - \* causes context switch
- consumer:
  - take(): blocks until there's something to take from the queue

- \* causes context switch
- peek(): non-blocking look at the first element without removing it
- poll(): returns null if empty, or 1st item from queue (removing it)
  - \* non-blocking
- NB: different to synchronous protocol: send a message and don't do anything else until reply received (doesn't mean thread is blocked)
- Node uses non-blocking calls and is single-threaded

#### Sockets

- socket: provides end point for communication between processes
  - to receive messages, its socket must be **bound** to a local port on one of the Internet addresses of the host
  - same socket can be used for both sending/receiving
  - each socket is associated with single protocol: TCP/UDP

#### Java Internet Address

- IntAddress: class encapsulating Internet address
- call getByName to get an instance
- throws UnknownHostException

1 IntAddress aComputer = IntAddress.getByName("registermachine.com")

#### **UDP datagram Communication**

- server (receiver) binds its socket to a server port (known to the client)
- client (sender) binds socket to any free port
- receive method returns Internet address/port of the sender with the message
  - this allows replies to be sent
- message size
  - receiving process defines array of bytes to receive message
  - if too big message is truncated
  - practical limit 8kB
  - protocol allows packets up to 2<sup>1</sup>6 bytes

- barebones: low overhead
- e.g. DNS, VoIP

#### Blocking

- non-blocking sends
- blocking receives
- · message delivered to message buffer of socket bound to the destination port
- invocations of receive on the socket collect the messages
- messages discarded if no socket bound to the port

#### Timeouts

- receive waits indefinitely until messages received
- · can set timeouts on sockets to exit from infinite waits and check condition of sender
- receive allows receiving from any port
  - can be restricted to given IP addr/port

# **Possible failures**

- data corruption: detected with checksum
- omission failures: buffers full, corruption, dropping
- order: messages may be delivered out of order

#### Java API

- DataGramPacket
  - 2 constructors for sending or for receiving
  - getData()
  - getPort()
  - getAddress()
- DatagramSocket
  - constructors: port number/no argument
  - send()
  - receive()

- setSoTimeout()
- connect()
- see textbook for client/server e.g.

# **TCP Stream Communication**

- message sizes: no limit on data size
- · lost messages: acknowledgement scheme retransmits unacknowledged packets
- flow control: receive window; match speed between sender/receiver
- congestion control: prevent congestion collapse of network
- duplication/ordering: sequence numbers ensure duplicates are rejected and reordering occurs as necessary
- destinations: connection established before communication
- e.g. HTTP, FTP, Telnet, SMTP

#### Establishing TCP stream socket

- client:
  - create socket with server address + port
  - read/write data using stream associated with socket
- server:
  - create listening socket bound to server port
  - wait for clients to request connection: listening socket maintains a queue of incoming connection requests
  - server accepts a connection and creates new stream socket for the server to communicate with the client
- pair of sockets (client/server) now connected by pair of streams, one in each direction. A socket has an input stream and an output stream

#### **Closing a socket**

- · data in output buffer sent to other end with indication stream is broken
- no further communication possible

#### Issues

- need pre-agreed format for data sent
- blocking is possible at both ends
- if the process supports threads, best approach is to assign a thread to each connection so that other clients are not blocked

#### **Failure model**

- checksum: detect/reject corrupt packets
- sequence number: detect/reject duplicates
- timeout + retransmission: lost packets
- severe congestion: TCP streams declare connection broken
  - breaks reliable communication
- communication broken: processes cannot distinguish between process failure and process crash
- · communicating processes cannot definitely say whether messages sent recently were received
- · clean exit: very confident all data received correctly

#### Java API

- ServerSocket
  - used to create a listening socket
  - accept(): gets connect request from queue, returns Socket instance
  - accept(): blocks until connection arrives
- Socket
  - used by pair of processes with a connection
  - client: uses constructor specifying DNS hostname:port, creating a socket bound to a local port and connects to remote computer
  - getInputStream()
  - getOutputStream()
- see textbook for TCP client/server

# **External Data Representation and Marshalling**

- data structures need to be flattened to a sequence of bytes for transmission
- approaches to allow computers to interpret data
  - use agreed external format
  - transmit in senders format, with indication of format used
- **external data representation:** agreed standard for representing data structures and primitive data
  - CORBA common data representation
  - Java serialization
  - JSON
  - XML
- marshalling: process of converting data to form suitable for transmission
- unmarshalling: disassembling data at receiver
  - lots of validation required to ensure it conforms to expected format

#### **CORBA's Common Data Representation**

Java Object serialization

XML Extensible Markup Language

**JSON JavaScript Object Notation** 

**Group Communication** 

**IP Multicast** 

**Overlay Networks**